



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	10/006,484
Filing Date	December 5, 2001
First Named Inventor	William J. Yarborough
Art Unit	3635
Examiner Name	Joseph T. Pan
Attorney Docket Number	72167.000295

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

*Examiner Initials	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>
							<input type="checkbox"/>	<input type="checkbox"/>

**NON-PATENT LITERATURE DOCUMENTS**

*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
/JP/	1.	R. Fielding et al., <u>Network Working Group</u> , "Hypertext Transfer Protocol - HTTP/1.1", June, 1999		
/JP/	2.	S. Bellovin, <u>Network Working Group</u> , "Firewall-Friendly FTP", February, 1994		
/JP/	3.	Postel & Reynolds, <u>Network Working Group</u> , "File Transfer Protocol (FTP)", October, 1985		

EXAMINER SIGNATURE /Joseph Pan/

DATE CONSIDERED 03/15/2007

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Substitute for form 1449A/PTO			<b>Complete if Known</b>	
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			First Named Inventor	William J. Yarborough
			Group Art Unit	N/A
			Examiner Name	Not Yet Assigned
			Attorney Docket Number	J2167.0295/P295
Sheet	1	of	2	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
↓	A	5,623,601		Vu	Apr. 22, 1997	
	B	5,778,174		Cain	Jul. 7, 1998	
	C	5,864,683		Boebert et al.	Jan. 26, 1999	
	D	5,550,984		Gelb	Aug. 27, 1996	
	E	6,061,796		Chen et al.	May 9, 2000	
	F	6,079,020		Liu	Jun. 20, 2000	
	G	6,182,226		Reid et al.	Jan. 30, 2001	
	H					

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>3</sup>	Number <sup>4</sup>				

Examiner Signature	/Joseph Pan/	Date Considered	03/15/2007
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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		First Named Inventor	William J. Yarborough
		Group Art Unit	N/A
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	J2167.0295/P295
Sheet	2	of	2

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	/Joseph Pan/	Date Considered	03/15/2007
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<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached



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				Application Number	10/006,484-Conf. #3635
				Filing Date	December 5, 2001
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				Art Unit	2131
				Examiner Name	Not Yet Assigned
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U.S. PATENT DOCUMENTS					
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		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>3</sup>	Number-Kind Code <sup>4</sup> (if known)			
/JPI/	BA	WO-98/18248		04-30-1998	International Business Machines Corporation	
/JPI/	BB	GB-2323757-A		09-30-1998	International Business Machines Corporation	

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